



R S M HARRIS ASSOCIATES, INC.

PLANNERS-CONSULTANTS-DEVELOPERS

POST OFFICE BOX 10037

GOLDSBORO, NORTH CAROLINA 27532-0037

TELEPHONE 919.751.0909

2719 GRAVES DRIVE, SUITE 2

GOLDSBORO, NORTH CAROLINA 27534

EMAIL cdharris@rsmharris.com

REQUEST FOR BID PROPOSALS

To: Demolition and Clearance Contractors

From: W. Chris Harris
Reconstruction/Relocation Specialist

Subject: Lucama 2020 CDBG-NR Project
Request for Bid Proposals for Demolition/Clearance

Date: June 5, 2026

On behalf of the Town of Lucama we will receive separate sealed bids for demolition/clearance services for one (1) house under the Town of Lucama 2020 CDBG-NR Project. Attached is a copy of the bid proposal forms, photograph, survey and Asbestos Inspection Report. Proposals will be received at Lucama Town Hall, **111 Main Street, Lucama NC 27851 on Monday, June 22, 2026, at 10:00 a.m.**, then be publicly opened and read aloud.

The Town expects demolition work and asbestos removal to be completed within 45 days from the Notice to Proceed. Evidence of insurance is due before the start of any work. The Town intends to select the one firm for the demolition, based on the lowest responsible proposal. The Town of Lucama will enter into contract for the work. The Town of Lucama is an equal opportunity employer and service provider. Small, minority, and/or women owned, Historically Underutilized Business (HUB), and Section 3 (low income) businesses and labor surplus area firms are encouraged to submit BIDS. The Fair Housing Act prohibits discrimination in housing based on race, color, national origin, religion, sex, family status, and disability. The Town of Lucama reserves the right to waive any informalities or to reject any and all bids.

If you have any questions, call me at 252-521-3229 (cell).

This information is available in Spanish or any other language upon request. Please contact: Dena Owens, Town Clerk, at 252-239-0560 or 111 Main Street, Lucama, NC 27851, for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Dena Owens, Town Clerk, al 252-239-0560 o en 111 Main Street, Lucama, NC 27851 de alojamiento para esta solicitud. This municipality is an Equal Opportunity Employer and Service Provider.

Attachment



**ADVERTISEMENT FOR BIDS
TOWN OF LUCAMA**

Separate sealed bids for the demolition/clearance of one (1) house and the provision/installation of one (1) State Building Code modular house will be received at Lucama Town Hall, 111 Main Street, Lucama, NC 27851, on **Monday, June 22, 2026, at 10:00 a.m. for 1 demolition/clearance and 11:00 a.m. for 1 State Building Code modular house**, then be publicly opened and read aloud. Proposals may be submitted to the Town Clerk's Office, 111 Main Street, Lucama, NC 27851 prior to the bid opening.

Bid proposals may be examined and obtained at the following locations: RSM Harris Associates, Inc., 2719 Graves Drive – Suite 2, P.O. Box 10037, Goldsboro, NC 27532, phone 919-751-0909; and at the Lucama Town Hall, 111 Main Street, Lucama, NC 27851, phone 252-239-0560.

The Town has received \$747,750 in a federal 2019 CDBG-NR grant representing 100% of total project costs to undertake housing clearance and reconstruction (replacement on site). All federal CDBG requirements will apply to the contract: Bidders on this work will be required to comply with Section 109 and E.O. 11246 which prohibits discrimination in employment regarding race, creed, color, sex, or national origin. Bidders must comply with Title VI of the Civil Rights Act of 1964, Anti-Kickback Act, E-Verify Regulations, and Contract Work Hours and Safety Standards Act. The Town is committed to and supportive of efforts to effectively maintain and/or increase the use of Small and Minority/Women Owned Business and Historically Underutilized Businesses (HUB) contract participation for construction projects, services (including professional and consulting services) and commodities purchases; AND increase contract participation to offer employment, training and contracting opportunities to low and very low income persons in the Town in accordance with Section 3 of the Housing and Urban Development Act of 1968, as amended. Small, minority, and/or women owned, Historically Underutilized Businesses (HUB), and Section 3 businesses are encouraged to submit bids. The Town supports and encourages support of the Fair Housing Act which prohibits discrimination in housing based on race, color, national origin, religion, sex, family status, and disability.

Bidders may not be debarred from receiving state or federal contracts. The Town reserves the right to waive any informalities or to reject any and all bids.

This information is available in Spanish or any other language upon request. Please contact: Dena Owens, Town Clerk, at 252-239-0560 or 111 Main Street, Lucama, NC 27851, for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Dena Owens, Town Clerk, al 252-239-0560 o en 111 Main Street, Lucama, NC 27851 de alojamiento para esta solicitud.

This municipality is an Equal Opportunity Employer and Service Provider.



LUCAMA 2020 CDBG-NR PROJECT

PROPOSALS OF DEMOLITION/CLEARANCE OF STRUCTURES

WORK DESCRIPTION:

Owner

Dawna Edmondson
(252-292-2310)

Address

204 S. Goldsboro Street, Lucama, NC 27851

Completely demolish the house structure from the property. Remove all debris/garbage around the house. Completely remove one (1) tree stump located at the left side of the rear of the house below ground level and any roots above or around the ground. Remove all the vegetation and debris around the house. Grade site, clean and level. Haul in sufficient fill dirt to cover holes and disturbed areas and to provide positive drainage. Cost of dirt to be included in lump-sum proposal. Re-seed and hay disturbed areas. Proposal to be turnkey and to include all costs of disposal, including tipping fees to County landfill, labor, materials, permits, demolition permits, insurance, etc. Contractor is to provide copies of landfill tickets and proof of payment to the landfill. Contractor is to provide copies of invoices and proof of payment for any subcontractors that perform any work at job site. Contractor shall obtain and provide copy to the Town of Lucama, Demolition Notification Permit from NC Health Hazards Control Unit (HHCU). Owner to remove LP gas tanks. Storage building to remain.

Cost of Demolition \$ _____

Asbestos Removal

NO ASBESTOS FOUND

Total Amount of Lump Sum Proposal \$ _____

Respectfully Submitted

Bidder must sign here

Name of Company

Mailing Address

City, State and Zip

Federal ID or SS No.

License Number

Phone Number

Date

- Please contact our office should you have any questions before bidding



No. 4 - Dawna Edmondson 204 S. Goldsboro Street, Lucama, NC 27851



Major roof sagging.



Unstable foundation supports.



Exterior walls not weather-tight



Exterior windows severely damaged.



April 4, 2026

Town of Lucama
P. O. Box 127
Lucama, NC 27851

Attn: Mr. Chris Harris
chris@rsmharris.com

Re: Report for Asbestos Testing Services
204 S Goldsboro St.
Lucama, North Carolina

Dear Mr. Harris,

The purpose of this report is to present the results of the limited asbestos testing performed on March 23, 2026, at the above referenced address.

We appreciate the opportunity to provide this service to you. If you have any questions regarding this report, please contact the undersigned.

Sincerely,

CIH Services

A handwritten signature in blue ink, which appears to read "Scott Rohlf". The signature is written in a cursive style.

Scott D. Rohlf CIH
Principal Industrial Hygienist



1.0 PROJECT DESCRIPTION

Carolina Industrial Hygiene Services (CIH Services) conducted an asbestos survey at the house located at 204 S Goldsboro St. Lucama, North Carolina. The survey was conducted March 27, 2026, by Scott Rohlf, a North Carolina Accredited asbestos inspector (12130). Interior and exterior suspect asbestos containing materials were assessed and tested. Homogeneous areas of suspect asbestos-containing materials (ACM) were visually identified and documented.

2.0 SCOPE

2.1 Visual Assessment

Our survey activities began with visual observation of the interior of the subject area to identify homogeneous areas of suspect ACM. A homogeneous area consists of building materials that appear similar throughout in terms of color, texture, and date of application. The assessment was conducted throughout visually accessible areas of the building.

2.2 Sample Collection

Based on results of the visual observation, bulk samples of suspect ACM were collected. Random samples of suspect materials were collected in each homogeneous area. Samples were placed in sealable containers and labeled with unique sample numbers using an indelible marker.

2.3 Sample Analysis

Bulk samples were submitted under chain of custody to EMSL Analytical of Morrisville, North Carolina for analysis by Polarized Light Microscopy per EPA methodology EPA/600/R-93/116. The percentage of asbestos, where applicable, was determined by microscopic visual estimation or point counting. EMSL is accredited under the National Voluntary Laboratory Accreditation Program (NVLAP Accreditation No. 600271-0).

3.0 RESULTS

The materials tested did not contain asbestos. Please see attached laboratory data.

4.0 RECOMMENDATIONS AND CONCLUSIONS

Building components containing 1% or more of asbestos are considered to be asbestos containing materials (ACM) and are regulated by the Federal EPA and the State of North Carolina. If the client desires to remove or otherwise disturb the identified asbestos containing materials, the client should engage an accredited asbestos abatement company to remove asbestos containing material as desired. The client should assume conditions of asbestos containing materials throughout the building assessed.

Materials that contain less than 1% are not regulated by the EPA. Therefore, no special handling or disposal methods are required by EPA regulations. However, OSHA regulations still regulate

Asbestos Testing Report

204 S Goldsboro St.

April 4, 2026



employee exposure to building materials containing asbestos regardless of content percentage. Therefore, prior to disturbance, any contractors disturbing these materials should be notified of their presence so appropriate protective measures can be taken.

* If drywall/joint compound contains asbestos, because it is almost impossible to delineate the drywall and joint compound, the EPA allows asbestos inspectors to collect composite samples of these materials. Negative drywall (large amount of the mass) and asbestos containing joint compound (small amount of the mass) overall make up a wall system that is classified by the EPA as being <1% asbestos content. Because of this condition, differing handling procedures may be applicable. Please check with your federal, state, and local regulations and laws for additional information.

If the client encounters other suspect asbestos containing materials not sampled in this report or previously sampled, they should have those materials tested for asbestos prior to disturbance.



APPENDIX A
SUMMARY OF SUSPECT ACM SAMPLES



SUSPECT ASBESTOS CONTAINING MATERIALS

204 S Goldsboro St.
Lucama, North Carolina

SAMPLE NUMBER	HA NO.	DESCRIPTION	LOCATION	PERCENT/TYPE ASBESTOS
1a	1	Plaster Skim Coat	Kitchen	NAD
1b	2	Plaster Brown Coat	Kitchen	NAD
2a	1	Plaster Skim Coat	Living Room	NAD
2b	2	Plaster Brown Coat	Living Room	NAD
3a	1	Plaster Skim Coat	Bedroom	NAD
3b	2	Plaster Brown Coat	Bedroom	NAD
4	3	Window Glazing	Exterior	NAD
5	3	Window Glazing	Exterior	NAD
6	4	Window Caulking	Exterior	NAD
7	5	Roof Shingle	Exterior	NAD



APPENDIX B
LABORATORY RESULTS



EMSL Analytical, Inc.

2500 Gateway Centre Blvd., Suite 600 Morrisville, NC 27560

Tel/Fax: (919) 465-3900 / (919) 465-3950

http://www.EMSL.com / raleighlab@emsl.com

EMSL Order: 292601485

Customer ID: CIHY42

Customer PO:

Project ID:

Attention: Scott Rohlf
Carolina Industrial Hygiene Services
1208 Barnford Mill Road
Wake Forest, NC 27587

Phone: (919) 868-0768

Fax:

Received Date: 03/23/2026 3:26 PM

Analysis Date: 03/24/2026

Collected Date:

Project: Lucama

Test Report: Asbestos Analysis of Bulk Materials via AHERA Method 40CFR 763 Subpart E Appendix E supplemented with EPA 600/R-93/116 using Polarized Light Microscopy

Sample	Description	Appearance	Non-Asbestos		Asbestos
			% Fibrous	% Non-Fibrous	% Type
1-Skim Coat 292601485-0001	Kitchen - Plaster	White Non-Fibrous Homogeneous	HA: 1	70% Ca Carbonate 30% Non-fibrous (Other)	None Detected
1-Base Coat 292601485-0001A	Kitchen - Plaster	Gray Non-Fibrous Homogeneous	HA: 1	10% Quartz 10% Ca Carbonate 80% Non-fibrous (Other)	None Detected
2-Skim Coat 292601485-0002	LR - Plaster	White Non-Fibrous Homogeneous	HA: 1	70% Ca Carbonate 30% Non-fibrous (Other)	None Detected
2-Base Coat 292601485-0002A	LR - Plaster	Gray Non-Fibrous Homogeneous	HA: 1	10% Quartz 10% Ca Carbonate 80% Non-fibrous (Other)	None Detected
3-Skim Coat 292601485-0003	Bed - Plaster	White Non-Fibrous Homogeneous	HA: 1	70% Ca Carbonate 30% Non-fibrous (Other)	None Detected
3-Base Coat 292601485-0003A	Bed - Plaster	Gray Non-Fibrous Homogeneous	HA: 1	20% Quartz 20% Ca Carbonate 60% Non-fibrous (Other)	None Detected
4 292601485-0004	Exterior - W.G.	Gray Non-Fibrous Homogeneous	HA: 2	<1% Wollastonite 25% Ca Carbonate 75% Non-fibrous (Other)	None Detected
5 292601485-0005	Exterior - W.G.	Various Fibrous Homogeneous	HA: 2	3% Wollastonite 10% Ca Carbonate 87% Non-fibrous (Other)	None Detected
6 292601485-0006	Exterior - W.C.	White Non-Fibrous Homogeneous	HA: 3	5% Ca Carbonate 5% Gypsum 90% Non-fibrous (Other)	None Detected
7 292601485-0007	Exterior - R.S.	Black Fibrous Homogeneous	HA: 4	20% Glass 20% Quartz 10% Ca Carbonate 50% Non-fibrous (Other)	None Detected

Initial report from: 03/24/2026 16:17:43



EMSL Analytical, Inc.

2500 Gateway Centre Blvd., Suite 600 Morrisville, NC 27560

Tel/Fax: (919) 465-3900 / (919) 465-3950

<http://www.EMSL.com> / raleighlab@emsl.com

EMSL Order: 292601485

Customer ID: CIHY42

Customer PO:

Project ID:

Analyst(s)

Joshua Moorman (7)

Roxsee Stover (3)

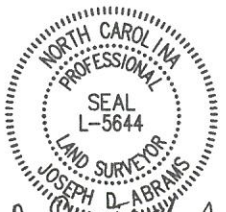
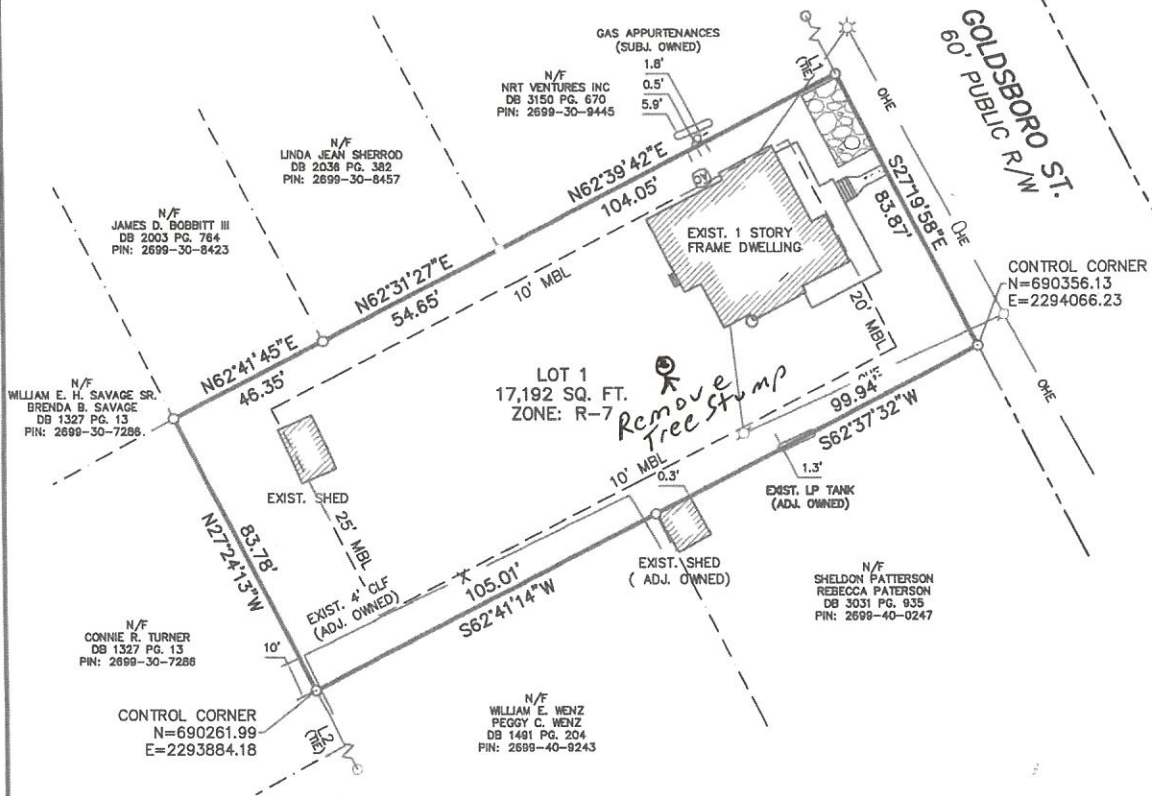
Billy Barnes, Laboratory Manager
or Other Approved Signatory

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. Results are generated from the field sampling data (sampling volumes and areas, locations, etc.) provided by the client on the Chain of Custody. Samples are within quality control criteria and met method specifications unless otherwise noted. The above analyses were performed in general compliance with Appendix E to Subpart E of 40 CFR (previously EPA 600/M4-82-020 "Interim Method") but augmented with procedures outlined in the 1993 ("final") version of the method. This report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST or any agency of the federal government. Non-friable organically bound materials present a problem matrix and therefore EMSL recommends gravimetric reduction prior to analysis. Unless requested by the client, building materials manufactured with multiple layers (i.e. linoleum, wallboard, etc.) are reported as a single sample. Estimation of uncertainty is available on request.

Samples analyzed by EMSL Analytical, Inc. Morrisville, NC NVLAP Lab Code 200671-0, VA 3333 000278, WVA LT000296

Initial report from: 03/24/2026 16:17:43

**SURVEY FOR
 DAWNA EDMONDSON
 AND
 TOWN OF LUCAMA
 204 S. GOLDSBORO ST.
 CROSS ROADS TOWNSHIP
 WILSON COUNTY, NORTH CAROLINA
 DEED BOOK 2955 PAGE 947
 WILSON COUNTY REGISTRY
 PIN: 2699-30-9366**



J. D. Abrams
 01/22/2026

I, JOSEPH D. ABRAMS, CERTIFY THAT THIS MAP IS CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF, AND WAS DRAWN FROM A SURVEY MADE UNDER MY SUPERVISION ON JANUARY 19, 2026; THAT ENCROACHMENTS, IF ANY AT THE TIME OF SURVEY, ARE SHOWN.
 THIS PROPERTY IS NOT LOCATED IN A FEMA 100 YEAR FLOOD HAZARD AREA. COMMUNITY PANEL # 2699 CITY OF WILSON, DATED 04/16/2013.

Line Table		
Line #	Length	Direction
L1	104.00	N26°46'26"W
L2	127.02	N27°22'41"W

- LEGEND**
- EXISTING IRON PIPE
 - DISTURBED IRON PIPE
 - ☆ EXISTING LIGHT POLE
 - ⊕ EXISTING POWER POLE
 - ⊗ EXISTING HVAC UNIT
 - EXISTING LP CYLINDER
 - EXISTING SANITARY CLEAN OUT
 - EXISTING WATER METER

- NOTES:**
- AREAS COMPUTED BY COORDINATE CALCULATIONS
 - NO GRID MONUMENT FOUND WITHIN 2000'
 - ALL DISTANCES SHOWN ARE HORIZONTAL



(IN FEET)
 1 inch = 40 ft.

BARTLETT ENGINEERING & SURVEYING, PC	
1906 NASH STREET NORTH WILSON, NORTH CAROLINA 27893	
TEL (252)399-0704	FAX (252)399-0804
License No. C-1551	www.bartletteng.com
DATE: JAN. 2026	CADFILE: 260019CL1
SCALE: 1"=40'	DRAWN BY: JDA
CLIENT: TOWLUC	SURVEYED BY: BMF/CJW

**CERTIFICATIONS REGARDING DEBARMENT, SUSPENSION AND OTHER
RESPONSIBILITY MATTERS**

CONTRACTOR should refer to the regulations cited below. CONTRACTOR should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements implementing Federal Executive Order 12549 and guidance issued in the *Federal Register*, Volume 70, No. 168, pages 51863 through 51880 for “Government wide Debarment and Suspension (Non-procurement).” The certification shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce or its grantee (TOWN) determines to award the covered transaction, grant or cooperative agreement.

1. DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

As required by Executive Order 12549, Debarment and Suspension, for prospective participants (CONTRACTOR) in primary covered transactions.

- (1) The prospective primary participant (CONTRACTOR) certifies to the best of its knowledge and belief, that it and its principals:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by a Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2) Where the prospective primary participant (CONTRACTOR) is unable to certify to any of the statements in this certification, such prospective participant (CONTRACTOR) shall attach an explanation to this proposal.

As the duly authorized representative of the CONTRACTOR, I hereby certify that the CONTRACTOR will comply with the above applicable certification(s).

NAME OF CONTRACTOR	GRANT NUMBER AND PROJECT NAME GRANT NO. 19-C-3130 TOWN OF LUCAMA 2020 CDBG NEIGHBORHOOD REVITALIZATION PROJECT
PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
SIGNATURE	DATE

NON-COLLUSION CERTIFICATION

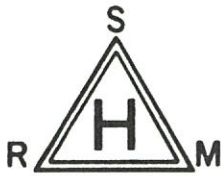
_____ (name of individual), being first duly sworn, deposes and says that:

1. He is the _____ (title) of _____
(company name), the proposer that has submitted the attached proposal;
2. He is fully informed respecting the preparation and contents of the attached proposal and of all pertinent circumstances respecting such proposal;
3. Such proposal is genuine and is not a collusive or sham proposal;
4. Neither the said proposer nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly, with any other proposer firm or person to submit a collusive or sham proposal in connection with the contract for which the attached proposal has been submitted or to refrain from proposing in connection with such contract, or has in any manner, directly or indirectly sought by agreement or collusion of communication or conference with any other proposer, firm or person to fix the price or prices in the attached proposal or of any other proposers, or to fix any overhead, profit or cost element of the proposal price of the proposal of any other proposer or to secure through collusion, conspiracy, connivance or unlawful agreement any advantage against the County or any person interested in the proposed contract; and
5. The price or prices quoted in the attached proposal are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the proposer or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

Signature

Title

Date



R S M HARRIS ASSOCIATES, INC.

PLANNERS-CONSULTANTS-DEVELOPERS

POST OFFICE BOX 10037

GOLDSBORO, NORTH CAROLINA 27532-0037

TELEPHONE 919.751.0909

2719 GRAVES DRIVE, SUITE 2

GOLDSBORO, NORTH CAROLINA 27534

EMAIL cdharris@rsmharris.com

MEMORANDUM

TO: Demolition and Clearance Contractors

FROM: W. Chris Harris
Reconstruction/Relocation Specialist

DATE: June 5, 2026

SUBJECT: Lucama 2020 CDBG-NR Project
Request for Proposals for Demolition/Clearance
Section 3 Provisions

Section 3 of the Housing and Urban Development Act of 1968 provides preference to low and very low income residents of the community (regardless of race or gender), and the businesses that substantially employ these persons, for new employment, training, and contracting opportunities. Businesses may receive a preference for work if they qualify as a Section 3 business. Attached is a certification form for you to complete and a list of documents you need to provide with your proposal if you want to be considered a Section 3 qualified firm. Please note this is optional and is not a requirement in order to submit a proposal.

All Contractors doing work with the Town are required to list any new hires with the local Employment Security Commission and to report any new hires to the Town. Firms are encouraged to provide preference to low income community residents and residents in public housing units. Attached is a brochure that explains the Section 3 Program and the Section 3 Clause which is part of the Contract.

The Town of Lucama is an equal opportunity employer and service provider. Small, minority, and/or women owned, Historically Underutilized Business (HUB), and Section 3 (low income) businesses and labor surplus area firms are encouraged to submit BIDS. The Fair Housing Act prohibits discrimination in housing based on race, color, national origin, religion, sex, family status, and disability.

This information is available in Spanish or any other language upon request. Please contact: Dena Owens, Town Clerk, at 252-239-0560 or 111 Main Street, Lucama, NC 27851, for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Dena Owens, Town Clerk, al 252-239-0560 o en 111 Main Street, Lucama, NC 27851 de alojamiento para esta solicitud. This municipality is an Equal Opportunity Employer and Service Provider

Attachment



**CERTIFICATION FOR BUSINESS CONCERNS SEEKING SECTION 3
PREFERENCE IN CONTRACTING AND DEMONSTRATION OF CAPABILITY**

Name of Business _____

Address of Business _____

Type of Business: Corporation Partnership
 Sole Proprietorship Joint Venture

Attached is the following documentation as evidence of status:

For Business claiming status as a Section 3 resident-owned enterprise:

- | | |
|--|---|
| <input type="checkbox"/> Copy of resident lease | <input type="checkbox"/> Copy of receipt of public assistance |
| <input type="checkbox"/> Copy of evidence of participation
in a public assistance program | <input type="checkbox"/> Other evidence |

For business entity as applicable:

- | | |
|---|---|
| <input type="checkbox"/> Copy of Articles of Incorporation | <input type="checkbox"/> Certificate of Good Standing |
| <input type="checkbox"/> Assumed Business Name Certificate | <input type="checkbox"/> Partnership Agreement |
| <input type="checkbox"/> List of owners/stockholders and
% ownership of each | <input type="checkbox"/> Corporation Annual Report |
| <input type="checkbox"/> Organization chart with names and titles
and brief function statement | <input type="checkbox"/> Latest Board minutes appointing officers |
| | <input type="checkbox"/> Additional documentation |

For business claiming Section 3 status by subcontracting 25 percent of the dollar awarded to qualified Section 3 business:

- List of subcontracted Section 3 business(es) and subcontract amount

For business claiming Section 3 status, claiming at least 30 percent of their workforce are currently Section 3 residents or were Section 3 eligible residents within 3 years of date of first employment with the business:

- | | |
|--|--|
| <input type="checkbox"/> List of all current full-time employees | <input type="checkbox"/> List of employees claiming Section 3 status |
| <input type="checkbox"/> PHA/IHA Residential lease less than 3
years from day of employment | <input type="checkbox"/> Other evidence of Section 3 status less than 3
years from date of employment |

Evidence of ability to perform successfully under the terms and conditions of the proposed contract:

- Current financial statement
- Statement of ability to comply with public policy
- List of owned equipment
- List of all contracts for the past two years

Authorizing Name and Signature

(Corporate Seal)

Attested by: _____

Section 3 Clause

A. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.

C. The contractor agrees to send to each labor organization or representative or workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with Section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

HUD Compliance and Monitoring?

HUD monitors the performance of recipients and contractors. HUD examines employment and contract records for evidence of actions taken to train and employ Section 3 residents and to award contracts to Section 3 businesses. HUD provides technical assistance to recipients and contractors in order to obtain compliance with Section 3 requirements.

What if it appears that an entity is not complying with Section 3?

There is a complaint process. Section 3 residents and business concerns may file complaints if they think a violation of Section 3 requirements has occurred where a HUD-funded project is planned or underway. Complaints will be investigated; if appropriate, voluntary resolutions will be sought. There are appeal rights to the Secretary. Section 3 residents and businesses may also seek judicial relief.

How can Section 3 businesses or residents complain about a violation of Section 3 requirements?

They can file a complaint in writing to the local HUD FHEO Office or to:

The Assistant Secretary for Fair Housing and Equal Opportunity

U.S. Department of Housing and Urban Development
451 Seventh Street, SW, Room 5100
Washington, DC 20410-2000
1-800-669-9777
1-800-927-9276 (TTY)

www.hud.gov www.espanol.hud.gov

A written complaint should contain:

1. Name and address of the person filing the complaint;
2. Name and address of subject of complaint (HUD recipient or contractor);
3. Description of acts or omissions in alleged violation of Section 3;
4. Statement of corrective actions sought.

04736

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Section 3 Economic Opportunity *A Piece of the American Dream*



U.S. Department of Housing
and Urban Development



Fair Housing and Equal
Opportunity

April 2006

HUD-1476-FHEO Rev 2

OMB Approval Number 2529-0043 (exp. 8/31/2007)

Previous Editions are Obsolete

Section 3 Act

Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) (as amended), requires that economic opportunities generated by certain HUD financial assistance for housing (including Public and Indian Housing) and community development programs shall, to the greatest extent feasible, be given to low and very low-income persons, particularly those who are recipients of government assistance for housing, and to businesses that provide economic opportunities for these persons.

Other HUD programs covered by Section 3 (to distinguish between HUD Public and Indian housing programs) are those that provide housing or community development assistance for housing rehabilitation, housing construction, or other public construction project.

Who are Section 3 residents?

Public housing residents including persons with disabilities.

Low and very low income persons who live in the area where a HUD assisted project is located.

What is a Section 3 business?

A section 3 business is one:

That is owned by Section 3 residents
Employs Section 3 residents or;
Subcontracts with businesses that provide opportunities to low and very low income persons.

What types of Economic Opportunities are available under Section 3?

- ✓ Jobs and Employment opportunities
- ✓ Training and Educational opportunities
- ✓ Contracts and Business opportunities

Who will provide the Economic Opportunities?

Recipients of HUD financial assistance and their contractors and subcontractors are expected to develop a Section 3 Plan to assure that economic opportunities to the greatest extent feasible, are provided to low and very low-income persons and to qualified Section 3 businesses. One element of that Plan is the use of a Section 3 clause which indicates that all work performed under the contract are subject to the requirements of Section 3.

Who receives Economic Opportunities under Section 3?

For training and employment:

- ✓ persons in public and assisted housing;
- ✓ persons in the affected project neighborhood;
- ✓ participants in HUD Youth-build programs;
- ✓ homeless persons.

For contracting:

- ✓ businesses which fit the definition of a Section 3 business.

How can individuals and businesses find out more about Section 3?

Contact the Fair Housing and Equal Opportunity representative at your nearest HUD Office.



¿Cómo obliga el HUD a cumplir la ley y cómo vigila su cumplimiento?

El HUD vigila el desempeño de los receptores de la asistencia y de los contratistas. El HUD examina las constancias de empleo y de las contrataciones para saber si se han tomado medidas para capacitar y dar empleo a los residentes según la Sección 3, así como para adjudicar contratos a las empresas según la Sección 3.



¿Qué se hace si alguna empresa parece no cumplir con la Sección 3?

Existe un procedimiento de denuncia. Los residentes y las empresas según la

Sección 3 pueden presentar denuncias si consideran que ha ocurrido una infracción de los requisitos de la Sección 3 que afecta a un proyecto financiado por el HUD, planificado o en vías de realización. Estas denuncias se investigarán y se procurará resolverlas de forma voluntaria. Hay derecho de apelación ante el Secretario. Los residentes y las empresas según la Sección 3 también pueden recurrir al desagravio por vía judicial.



¿Cómo pueden quejarse las empresas o los residentes según la Sección 3 de las infracciones de la misma?

Pueden presentar una denuncia por escrito a la delegación local de HUD/FHEO o a:

The Assistant Secretary for Fair Housing and Equal Opportunity

U.S. Department of Housing and Urban Development
451 Seventh Street, SW, Room 5100
Washington, DC 20410-2000
1-800-669-9777
1-800-927-9276 (TTY)
www.espanol.hud.gov

En las denuncias por escrito se incluirán los datos siguientes:

- Nombre y dirección del denunciante;
- Nombre y dirección del denunciado (beneficiario o contratista del HUD);
- Descripción de los actos u omisiones que supuestamente han infringido la Sección 3;
- Declaración de las medidas correctivas que se solicitan.

HUD-1476-FHEO Rev 2 (Spanish) (4/06)

Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos de América

SECCIÓN 3 OPORTUNIDAD ECONÓMICA

Un trozo del ideal americano



Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos



Equidad de Vivienda e Igualdad de Oportunidades

April 2006

HUD-1476-FHEO Rev 2 (Spanish)
OMB Approval Number 2529-0043 (exp. 8/31/2007)
Previous Editions are Obsolete

Sección 3 de la Ley

Conforme a la Sección 3 de la Ley de Vivienda y Desarrollo Urbano de los Estados Unidos de 1968 (que figura en la Sección 1701u, enmendada, del título 12 del Código de los Estados Unidos), las oportunidades económicas que generen determinados tipos de asistencia financiera concedida por el Departamento de Vivienda y Desarrollo Urbano (HUD), incluida la destinada a la vivienda pública y la de los pueblos indígenas, se deberá proporcionar, en lo que sea factible, a las personas de ingresos bajos o muy bajos, especialmente a las que reciben asistencia pública para la vivienda, así como a las empresas que ofrecen oportunidades económicas a esas personas.

El HUD también administra otros programas conforme a la Sección 3. Para distinguir entre los programas para la vivienda pública y los destinados a los pueblos indígenas, estos otros programas proporcionan asistencia para la vivienda o para el desarrollo comunitario dirigida a la rehabilitación y construcción de viviendas o a otros proyectos de construcción pública.

¿Quiénes son los residentes según la Sección 3?

Los residentes de viviendas públicas, incluidos los discapacitados.

Las personas de ingresos bajos o muy bajos que viven donde hay bloques de viviendas que reciben asistencia del HUD.

¿Cuáles son las empresas según la Sección 3?

Por una empresa según la Sección 3 se entiende:

La que es propiedad de residentes según la Sección 3.
La que emplea a residentes según la Sección 3.
La que subcontrata a empresas que proporcionan oportunidades a personas de ingresos bajos o muy bajos.

¿Qué tipos de oportunidades económicas se ofrecen según la Sección 3?

- ✓ Oportunidades de trabajo y empleo
- ✓ Oportunidades de capacitación y educación
- ✓ Contracts and Business opportunities

¿Quién proporcionará las oportunidades económicas?

Los receptores de la asistencia financiera del HUD y sus contratistas y subcontratistas están obligados a elaborar planes conforme a la Sección 3 para asegurar que, en lo que sea factible, se proporcionen oportunidades a las personas de ingresos bajos o muy bajos y a las empresas que reúnan las condiciones que estipula la Sección 3. En esos planes se especificará que todos los trabajos efectuados conforme al contrato cumplan con los requisitos de la Sección 3.

¿Quién recibe las oportunidades económicas según la Sección 3?

Con fines de capacitación y empleo:

- ✓ Los residentes en viviendas públicas o subvencionadas;
- ✓ Los residentes en el vecindario de las viviendas públicas o subvencionadas;
- ✓ Los participantes en los programas del HUD de ayuda a la juventud (Youth-build programs);
- ✓ Las personas sin hogar.

Para conseguir contratos:

- ✓ Los negocios que se ajusten a la definición de las empresas según la Sección 3.

¿Cómo pueden las personas y las empresas recibir más información acerca de la Sección 3?

Deben comunicarse con el representante de la Oficina para la Equidad de Vivienda e Igualdad de Oportunidades (Fair Housing and Equal Opportunity, FHEO), en la delegación del HUD más cercana.