

R S M HARRIS ASSOCIATES, INC. PLANNERS-CONSULTANTS-DEVELOPERS

 POST OFFICE BOX 10037
 2719 GRAVES DRIVE, SUITE 2

 GOLDSBORO, NORTH CAROLINA 27532-0037
 GOLDSBORO, NORTH CAROLINA 27534

 TELEPHONE 919.751.0909
 FAX 919.751.0909
 EMAIL cdharris@rsmharris.com

REQUEST FOR BID PROPOSALS

To: Demolition and Asbestos Removal Contractors

- From: W. Chris Harris Reconstruction/Relocation Specialist
- Subject: Enfield 2019 CDBG-NR Project Second Request for Bid Proposals for Demolition and Asbestos Removal
- Date: December 4, 2023

On behalf of the Town of Enfield we will receive separate sealed bids for demolition/clearance and asbestos removal services for one (1) house under the Town of Enfield 2019 CDBG-NR Project. This is the second request for bids. Attached is a copy of the bid proposal forms, photograph, and Asbestos Inspection Report. Proposals will be received at Enfield Town Hall in the Planning and Zoning Conference Room, at <u>121 SE Railroad Street</u>, Enfield, NC on Tuesday, December 19, 2023, at <u>10:00 a.m.</u>, then be publicly opened and read aloud.

The Town expects demolition work and asbestos removal to be completed within 30 days from the Notice to Proceed. Evidence of insurance is due before the start of any work. The Town of Enfield will enter into contract for the work and will select the Contractor whose proposal represents the best interests of the Town. The Town of Enfield is an equal opportunity employer and service provider. Small, minority, and/or women owned, Historically Underutilized Business (HUB), and Section 3 (low income) businesses and labor surplus area firms are encouraged to submit BIDS. The Fair Housing Act prohibits discrimination in housing based on race, color, national origin, religion, sex, family status, and disability. The Town of Enfield reserves the right to accept or reject any and all bids.

If you have any questions, call me at 252-521-3229 (cell).

This information is available in Spanish or any other language upon request. Please contact: Reginald Goodson, Town Administrator, at 252-445-3146 or at 121 SE Railroad Street, Enfield, NC 27823 for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Reginald Goodson, Town Administrator, at 252-445-3146 o en 121 SE Railroad Street, Enfield, NC 27823 de alojamiento para esta solicitud. This Municipality is an *Equal Opportunity Employer and Service Provider*.

Attachment

2nd ADVERTISEMENT FOR BIDS TOWN OF ENFIELD

Separate sealed bids (2nd Advertisement) for the demolition/clearance of ONE (1) house and the provision/set-up of one (1) State Building Code modular houses will be received in the Planning and Zoning Conference Room at the Town Hall, <u>121 SE Railroad Street, Enfield, NC on Tuesday, December 19, 2023, at 10:00 a.m. for 1 demolition & clearance and 11:00 a.m. for 1 State Building Code modular house, then be publicly opened and read aloud. Proposals may be submitted to the Town Administrator's office, 121 SE Railroad Street, Enfield, NC 27823 prior to the Bid Opening.</u>

Bid Proposals may be examined and obtained at the following locations: RSM Harris Associates, Inc., 2719 Graves Drive-Suite 2, P.O. Box 10037, Goldsboro, NC 27532, phone 919-751-0909; and at the Town Administrator's Office, 121 SE Railroad Street, Enfield, NC 27823, phone 252-445-3146.

The Town has received \$719,250 in a federal 2019 CDBG-NR grant representing 100% of total project costs to undertake housing clearance and reconstruction (replacement on site) and flood and drainage improvements. All federal CDBG requirements will apply to the contract: Bidders on this work will be required to comply with Section 109 and E.O. 11246 which prohibits discrimination in employment regarding race, creed, color, sex, or national origin. Bidders must comply with Title VI of the Civil Rights Act of 1964, Anti-Kickback Act, E-Verify Regulations, and Contract Work Hours and Safety Standards Act. The Town is committed to and supportive of efforts to effectively maintain and/or increase the use of Small and Minority/Women-Owned Business and Historically Underutilized Businesses (HUB) contract participation for construction projects, services (including professional and consulting services) and commodities purchases; AND increase contract participation to offer employment, training and contracting opportunities to low and very low-income persons in the Town in accordance with Section 3 of the Housing and Urban Development Act of 1968, as amended. Small, minority, and/or women owned, Historically Underutilized Businesses (HUB), and Section 3 businesses are encouraged to submit bids. The Town supports and encourages support of the Fair Housing Act which prohibits discrimination in housing based on race, color, national origin, religion, sex, family status, and disability.

Bidders may not be debarred from receiving state or federal contracts. The Town reserves the right to waive any informalities or to reject any or all bids.

This information is available in Spanish or any other language upon request. Please contact: Reginald Goodson, Town Administrator, at 252-445-3146 or at 121 SE Railroad Street, Enfield, NC 27823 for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Reginald Goodson, Town Administrator, at 252-445-3146 or at 121 SE Railroad Street, Enfield, NC 27823 de alojamiento para esta solicitud.

This Municipality is an Equal Opportunity Employer and Service Provider.

Authorized to be published December 2, 2023 by Reginal Goodson, Town Administrator



ENFIELD 2019 CDBG-NR PROJECT

PROPOSALS OF ASBESTOS REMOVAL DEMOLITION/CLEARANCE OF STRUCTURES

WORK DESCRIPTION:

<u>Owner</u> Queen Powell (252-450-5480) Address 419 South East Railroad St, Enfield, NC 27823

Completely demolish the structure from the property. Remove all debris/garbage around the house. Completely remove four trees at front right corner of lot and remove four trees at left side, rear corner near house; including removal of tree stumps below ground level and large roots above ground. Grade site, clean and level. Haul in sufficient fill dirt to cover holes and disturbed areas and to provide positive drainage. Cost of dirt to be included in lump-sum proposal. Re-seed and hay disturbed areas. Proposal to be turnkey and to include all costs of disposal, including tipping fees to County landfill, labor, materials, permits, insurance, etc. Contractor shall obtain and provide copy to the Town of Enfield, Demolition Notification Permit from NC Health Hazards Control Unit (HHCU). Metal carport to remain and outbuilding beside house to remain.

Asbestos Removal

Completely remove the asbestos containing materials from the dwelling as identified in the attached asbestos report. Asbestos containing materials shall be removed by Contractor (if licensed as an asbestos removal contractor) or by a licensed and accredited asbestos subcontractor prior to demolition of the house. Asbestos supervisors licenses and asbestos workers licenses shall be provided to Town prior to beginning asbestos removal. After asbestos has been removed, Contractor shall notify the Town asbestos inspector to provide a Clearance Letter verifying the asbestos has been removed. The Town will pay for the first post-removal inspection. The Contractor shall pay for any re-inspections that are required if the first inspection fails due to inadequate removal of the asbestos containing materials. Prior to contract payment, Contractor shall pay the asbestos inspection firm for any re-inspections and shall provide a paid receipt for each reinspection required to obtain the Clearance Letter.

Asbestos removal sub-contractor shall provide evidence of General Liability and Workmen's Compensation Insurance at the same minimum levels of the Contractor. Contractor shall provide evidence of payment of asbestos removal sub-contractor prior to payment of each property.

The processes for removal, hauling and disposal of asbestos containing material shall be in accordance with NC Health Hazards Control Unit (HHCU) requirements, NC Division of Solid Waste Management requirements and applicable federal requirements.

A NC Asbestos Waste Shipment Record shall be provided for all asbestos material than is 1% or greater. If required by NC Health Hazards Control Unit (HHCU), Contractor shall provide a copy of the Asbestos Removal Permit or the Demolition Notification Permit with Asbestos Removal from HHCU **PRIOR TO BEGINNING ANY ASBESTOS REMOVAL WORK.**

Amount of Lump Sum Pro	pposal \$	
Respectfully Submitted	Bidder must sign here	Name of Company
	Mailing Address	City, State and Zip
	Federal ID or SS No.	License Number
	Phone Number	Date

• Please contact our office should you have any questions before bidding.



No. 3 Queen Powell 419 S East Railroad Street, Enfield, NC

Enviro Assessments East, Inc.

Asbestos-Lead-Mold Inspections & Abatement

450 Executive Parkway New Bern, NC 28562 Phone (252) 527-3052 FAX (252) 527-3055 Email Josh@eae-inc.com www.eae-inc.com

Inspection # - ASB23-0303-02

Friday, March 3, 2023

Town of Enfield 2019 CDBG-NR Program c/o RSM Harris Associates, Inc. 2719 Graves Drive, Suite #2 Goldsboro, NC 27534

Reference: Asbestos Inspection Report 419 South East Railroad Street – Powell Residence Enfield, NC 27823

Dear Town of Enfield,

Enviro Assessments East, Inc. (EAE, Inc.) has completed the Asbestos Survey of the Property located at South East Railroad Street in Enfield, NC. We are pleased to provide you with this report, and if there are any questions please let us know.

Description of Services

An Asbestos Survey was performed on February 24th, 2023 by NC Licensed inspector Jason T. Simpson (NC Inspector # 12882). The inspection was conducted in general accordance with the U.S. Environmental Protection Agency requirements and in General accordance with the North Carolina Health Hazards Control Unit. This inspection was performed in preparation for the renovation of the residence located on site.

Inspection Process

EAE, Inc. began the survey by determining homogeneous areas within each structure. Those areas are defined as having suspect materials that are alike based upon location, material type, color, texture, and time period of installation. Representative bulk samples were collected of each homogeneous area of each structure. EAE, Inc. observed all areas in and around the structure(s). These are the areas that were subject to being affected during renovation or demolition activities, and those that may not be affected as well. EAE, Inc. investigated these areas to the best of our ability. All state and federal regulations were adhered to regarding this survey. This survey may exclude any items that may have been concealed at the time of the inspection; or overlooked due to the description of the future of the structure. These exclusions may include multiple layer wall or ceiling finishes, multiple layer floor coverings, materials located above fixed ceiling systems, or in wall or floor chases that are not readily available or visible, and inaccessible areas of the structure.

As required a minimum of 2 samples were taken of each material. These account for a total of 26 Bulk samples taken for analysis and 3 additional layers separated by the lab for a total of 29 Samples. All samples were double bagged and sent along with a chain of Custody (Attached) to a National Voluntary Laboratory Accreditation Program (NVLAP) approved laboratory for analysis. The samples were analyzed using Polarized Light Microscopy (PLM).

Results and Recommendations

According to the laboratory report, 1 of the 29 samples was found to contain asbestos. It is required that these materials be properly abated prior to disturbance by renovation activities or demolition. Please see the tables below for a description of materials found to contain asbestos at each structure.

Positive Sample Results

Sampl #	e Material Type	Location	% Asbestos	Quantity
22	Cool Seal	On Metal Roofing	2% Chrysotile	Approx. 3,200 SF

• All quantities are approximate.

Limitations

To the best of my knowledge, no other asbestos containing materials were found that were sampled in this survey. Before a building is to be renovated of demolished, all asbestos material that will be disturbed should be removed by a North Carolina State Licensed Asbestos Contractor using only licensed workers and supervisors.

If during demolition or remodeling any other suspect asbestos material is discovered, stop work immediately and test those materials for asbestos.

Sincerely,

Sincerely,

William S. Love Sr.

William S. Lane Jr., President Enviro Assessments East, Inc.

NC Asbestos Inspection # 12021 NC Asbestos Supervisor # 33514 NC General Contracting # 60742 NC Lead Firm # FPB-0292 NC RRP # RRP-0943 VA & SC License upon Request

Jon T. Singer

Jason T. Simpson, Estimator/PM Enviro Assessments East, Inc.

NC Asbestos Inspector # 12882 NC Asbestos Supervisor # 34329

Attachment I



CEI

March 3, 2023

Enviro Assessments East, Inc (EAE) 450 Executive Parkway New Bern, NC 28562

CLIENT PROJECT:House @ 419 SE Railroad Street, Enfield, NC 27823CEI LAB CODE:B234752v2

Dear Customer:

Enclosed are asbestos analysis results for PLM Bulk samples received at our laboratory on March 1, 2023. The samples were analyzed for asbestos using polarizing light microscopy (PLM) per the EPA 600 Method.

Sample results containing >1% asbestos are considered asbestos-containing materials (ACMs) per EPA regulatory requirements. The detection limit for the EPA 600 Method is <1% asbestos by weight as determined by visual estimation.

Thank you for your business and we look forward to continuing good relations.

Kind Regards,

Tansas Di

Tianbao Bai, Ph.D., CIH Laboratory Director



eurofins CEI
ASBESTOS ANALYTICAL REPORT By: Polarized Light Microscopy
Prepared for
Enviro Assessments East, Inc (EAE)
CLIENT PROJECT: House @ 419 SE Railroad Street, Enfield, NC 27823
LAB CODE: B234752v2
TEST METHOD: EPA 600 / R93 / 116 and EPA 600 / M4-82 / 020
REPORT DATE:
TOTAL SAMPLES ANALYZED: 26
SAMPLES >1% ASBESTOS: 1
730 SE Maynard Road • Cary, NC 27511 • 919.481.1413

730 SE Maynard Road • Cary, NC 27511 • 919.481.1413



Asbestos Report Summary By: POLARIZING LIGHT MICROSCOPY

CEI

PROJECT: House @ 419 SE Railroad Street, Enfield, LAB CODE: B234752v2 NC 27823

METHOD: EPA 600 / R93 / 116 and EPA 600 / M4-82 / 020

Client ID	Layer	Lab ID	Color	Sample Description	ASBESTOS %
1	Layer 1	B234752.01	White	Plaster Skim Coat	None Detected
	Layer 2	B234752.01	Brown	Plaster Base Coat	None Detected
2	Layer 1	B234752.02	White	Plaster Skim Coat	None Detected
	Layer 2	B234752.02	Brown	Plaster Base Coat	None Detected
3		B234752.03	White	Drywall	None Detected
4		B234752.04	White,Tan	Drywall	None Detected
5		B234752.05	White	Joint Compound	None Detected
6		B234752.06	White	Joint Compound	None Detected
7		B234752.07	Tan	Ceiling Tile	None Detected
8		B234752.08	Tan,White	Ceiling Tile	None Detected
9		B234752.09	Tan	Vinyl Flooring	None Detected
10		B234752.10	Tan	Vinyl Flooring	None Detected
11		B234752.11A	Tan	Vinyl Flooring	None Detected
		B234752.11B	White	Vinyl Flooring	None Detected
12		B234752.12	Tan	Vinyl Flooring	None Detected
13		B234752.13	Tan	Vinyl Flooring	None Detected
14		B234752.14	Tan	Vinyl Flooring	None Detected
15		B234752.15	Gray	Vinyl Flooring	None Detected
16		B234752.16	Black	Vinyl Flooring	None Detected
17		B234752.17	Gray	Vinyl Flooring	None Detected
18		B234752.18	Gray	Vinyl Flooring	None Detected
19		B234752.19	Pink	Vinyl Flooring	None Detected
20		B234752.20	White	Blown Insulation	None Detected
21*		B234752.21	Silver,Black	Cool Seal	None Detected
22*		B234752.22	Silver,Black	Cool Seal	Chrysotile 2%
23*		B234752.23	White	Glazing	None Detected
24*		B234752.24	White	Glazing	None Detected
25*		B234752.25	White	Caulking	None Detected
26*		B234752.26	White	Caulking	None Detected

eurofins

By: POLARIZING LIGHT MICROSCOPY

CEI

Client: Enviro Assessments East, Inc (EAE) 450 Executive Parkway New Bern, NC 28562

 Lab Code:
 B234752v2

 Date Received:
 03-01-23

 Date Analyzed:
 03-02-23

 Date Reported:
 03-02-23

Project: House @ 419 SE Railroad Street, Enfield, NC 27823

Client ID Lab ID	Lab Description	Lab Attributes	NO Fibr	N-ASBESTOS	NENTS Fibrous	ASBESTOS %	
1 Layer 1 B234752.01	Plaster Skim Coat	t Homogeneous White Non-fibrous Bound			65% 35%	Binder Calc Carb	None Detected
Layer 2 B234752.01	Plaster Base Coat	Homogeneous Brown Non-fibrous Bound		Cellulose Hair	65% 35%	Silicates Binder	None Detected
2 Layer 1 B234752.02	Plaster Skim Coat	Homogeneous White Non-fibrous Bound			65% 35%	Binder Calc Carb	None Detected
Layer 2 B234752.02	Plaster Base Coat	Homogeneous Brown Non-fibrous Bound		Cellulose Hair	65% 35%	Silicates Binder	None Detected
3 B234752.03	Drywall	Homogeneous White Non-fibrous Bound	5% 5%	Cellulose Fiberglass	90%	Gypsum	None Detected
4 B234752.04	Drywall	Heterogeneous White,Tan Fibrous Bound	15% 5%	Cellulose Fiberglass	75% 5%	Gypsum Paint	None Detected
5 B234752.05	Joint Compound	Heterogeneous White Non-fibrous Bound			65% 30% 5%	Binder Calc Carb Paint	None Detected

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By: POLARIZING LIGHT MICROSCOPY

CEI

Client: Enviro Assessments East, Inc (EAE) 450 Executive Parkway New Bern, NC 28562

Lab Code:	B234752v2
Date Received:	03-01-23
Date Analyzed:	03-02-23
Date Reported:	03-02-23

Project: House @ 419 SE Railroad Street, Enfield, NC 27823

Client ID Lab ID	Lab Description	Lab Attributes	NON-ASBESTOS COMPONENTS Fibrous Non-Fibrous				ASBESTOS %
6 B234752.06	Joint Compound	Heterogeneous White Non-fibrous Bound			65% 30% 5%	Binder Calc Carb Paint	None Detected
7 B234752.07	Ceiling Tile	Homogeneous Tan Fibrous Bound	100%	Cellulose			None Detected
8 B234752.08	Ceiling Tile	Heterogeneous Tan,White Fibrous Bound	95%	Cellulose	5%	Paint	None Detected
9 B234752.09	Vinyl Flooring	Heterogeneous Tan Non-fibrous Bound	5%	Fiberglass	50% 45%	Vinyl Foam	None Detected
10 B234752.10	Vinyl Flooring	Heterogeneous Tan Fibrous Bound	20% 10%	Cellulose Fiberglass	50% 20%	Vinyl Binder	None Detected
11 B234752.11A	Vinyl Flooring	Homogeneous Tan Non-fibrous Bound			100%	Vinyl	None Detected
B234752.11B	Vinyl Flooring	Homogeneous White Non-fibrous Bound			100%	Vinyl	None Detected

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By: POLARIZING LIGHT MICROSCOPY

CEI

Client: Enviro Assessments East, Inc (EAE) 450 Executive Parkway New Bern, NC 28562

Lab Code:	B234752v2
Date Received:	03-01-23
Date Analyzed:	03-02-23
Date Reported:	03-02-23

Project: House @ 419 SE Railroad Street, Enfield, NC 27823

Client ID Lab ID	Lab Description	Lab Attributes	in the second	NON-ASBESTOS COMPONEN Fibrous Non-Fibro			ASBESTOS %
12 B234752.12	Vinyl Flooring	Homogeneous Tan Non-fibrous Bound			100%	Vinyl	None Detected
13 B234752.13	Vinyl Flooring	Heterogeneous Tan Non-fibrous Bound	5%	Fiberglass	50% 45%	Vinyl Foam	None Detected
14 B234752.14	Vinyl Flooring	Homogeneous Tan Non-fibrous Bound			100%	Vinyl	None Detected
15 B234752.15	Vinyl Flooring	Heterogeneous Gray Non-fibrous Bound	5%	Fiberglass	50% 45%	Vinyl Foam	None Detected
16 B234752.16	Vinyl Flooring	Heterogeneous Black Fibrous Bound	20% 10%	Cellulose Fiberglass	50% 20%	Vinyl Binder	None Detected
17 B234752.17	Vinyl Flooring	Heterogeneous Gray Non-fibrous Bound	5%	Fiberglass	50% 45%	Vinyl Foam	None Detected
18 B234752.18	Vinyl Flooring	Homogeneous Gray Non-fibrous Bound			100%	Vinyl	None Detected

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By: POLARIZING LIGHT MICROSCOPY

CEI

Client: Enviro Assessments East, Inc (EAE) 450 Executive Parkway New Bern, NC 28562

Lab Code:	B234752v2
Date Received:	03-01-23
Date Analyzed:	03-02-23
Date Reported:	03-02-23

Project: House @ 419 SE Railroad Street, Enfield, NC 27823

Client ID Lab ID	Lab Description	Lab Attributes	NON Fibro	N-ASBESTOS	NENTS ibrous	ASBESTOS %	
19 B234752.19	Vinyl Flooring	Heterogeneous Pink Fibrous Bound	20% 10%	Cellulose Fiberglass	50% 20%	Vinyl Binder	None Detected
20 B234752.20	Blown Insulation	Homogeneous White Fibrous Loosely Bound	100%	Fiberglass			None Detected
21* B234752.21	Cool Seal	Heterogeneous Silver,Black Non-fibrous Bound	3%	Cellulose	62% 35%	Paint Tar	None Detected
22* B234752.22	Cool Seal	Heterogeneous Silver,Black Non-fibrous Bound	<1%	Cellulose	63% 35%	Paint Tar	2% Chrysotile
23⁺ B234752.23	Glazing	Heterogeneous White Non-fibrous Bound			97% 3%	Binder Paint	None Detected
24* B234752.24	Glazing	Heterogeneous White Non-fibrous Bound			97% 3%	Binder Paint	None Detected
25* B234752.25	Caulking	Heterogeneous White Non-fibrous Bound	·		100% <1%	Caulk Paint	None Detected

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By: POLARIZING LIGHT MICROSCOPY

CEI

Client: Enviro Assessments East, Inc (EAE) 450 Executive Parkway New Bern, NC 28562
 Lab Code:
 B234752v2

 Date Received:
 03-01-23

 Date Analyzed:
 03-02-23

 Date Reported:
 03-02-23

Project: House @ 419 SE Railroad Street, Enfield, NC 27823

Client ID	Lab	Lab	NON-ASBEST	ASBESTOS			
Lab ID	Description	Attributes	Fibrous	Fibrous Non-Fibrous		%	
26*	Caulking	Heterogeneous		100%	Caulk	None Detected	
B234752.26		White		<1%	Paint		
		Non-fibrous					
		Bound					



CEI

LEGEND:	Non-Anth Non-Trem	= Non-Asbestiform Anthophyllite = Non-Asbestiform Tremolite
	Calc Carb	= Calcium Carbonate

METHOD: EPA 600 / R93 / 116 and EPA 600 / M4-82 / 020

REPORTING LIMIT: <1% by visual estimation

REPORTING LIMIT FOR POINT COUNTS: 0.25% by 400 Points or 0.1% by 1,000 Points

REGULATORY LIMIT: >1% by weight

Due to the limitations of the EPA 600 method, nonfriable organically bound materials (NOBs) such as vinyl floor tiles can be difficult to analyze via polarized light microscopy (PLM). EPA recommends that all NOBs analyzed by PLM, and found not to contain asbestos, be further analyzed by Transmission Electron Microscopy (TEM). Please note that PLM analysis of dust and soil samples for asbestos is not covered under NVLAP accreditation. *Estimated measurement of uncertainty is available on request.*

This report relates only to the samples tested or analyzed and may not be reproduced, except in full, without written approval by Eurofins CEI. Eurofins CEI makes no warranty representation regarding the accuracy of client submitted information in preparing and presenting analytical results. Interpretation of the analytical results is the sole responsibility of the client. Samples were received in acceptable condition unless otherwise noted. This report may not be used by the client to claim product endorsement by NVLAP or any other agency of the U.S. Government.

Information provided by customer includes customer sample ID and sample description.

ANALYST:

ladelyn Schmidt

APPROVED BY

Tianbao Bai, Ph.D., CI-Laboratory Director

A version indicated by 'v' after the Lab ID# with a value greater than 1 indicates an amendment has occurred. The revised sample/description/ID is indicated by an *



					B2347532
10705 H Dover, H Contact PH# 252 Email: e	Assessments East, Inc. Hwy 55 West NC 28526 :: Jason Simpson 2-876-5094 Fax#252-527- ae200@embarqmail.com abresults@eae-inc.com)		Eurofins, CEL Enfield 2019 House P 9 SE Railroo Field, NC 2	27823
	Type: Asbestos Bulk	Analysis Type:			29 23
	ound Time: 24 HR	# of Samples:	26	Date Collected: 2/	24/25
•	Instructions/Notes		A	Stop Posit	
Sample #	<u>sample type</u>		<u>Locat</u>	lion	P/5
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CERTIFICATIONS REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

CONTRACTOR should refer to the regulations cited below. CONTRACTOR should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements implementing Federal Executive Order 12549 and guidance issued in the *Federal Register*, Volume 70, No. 168, pages 51863 through 51880 for "Government wide Debarment and Suspension (Non-procurement)." The certification shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce or its grantee (TOWN) determines to award the covered transaction, grant or cooperative agreement.

1. DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

- As required by Executive Order 12549, Debarment and Suspension, for prospective participants (CONTRACTOR) in primary covered transactions.
 - (1) The prospective primary participant (CONTRACTOR) certifies to the best of its knowledge and belief, that it and its principals:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by a Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction or records, making false statements, or receiving stolen property:
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.
 - (2) Where the prospective primary participant (CONTRACTOR) is unable to certify to any of the statements in this certification, such prospective participant (CONTRACTOR) shall attach an explanation to this proposal.

As the duly authorized representative of the CONTRACTOR, I hereby certify that the CONTRACTOR will comply with the above applicable certification(s).

NAME OF CONTRACTOR	GRANT NUMBER AND PROJECT NAME GRANT NO.18-C-3072 TOWN OF ENFIELD 2019 CDBG-NR PROJECT			
PRINTED NAME AND TITLE OF AUTHORIZIED REPRESENTATIVE				
SIGNATURE	DATE			

NON-COLLUSION CERTIFICATION

(name of individual), being first duly sworn, deposes and says that:

- He is the ______(title) of ______
 (company name), the proposer that has submitted the attached proposal;
- 2. He is fully informed respecting the preparation and contents of the attached proposal and of all pertinent circumstances respecting such proposal;
- 3. Such proposal is genuine and is not a collusive or sham proposal;
- 4. Neither the said proposer nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly, with any other proposer firm or person to submit a collusive or sham proposal in connection with the contract for which the attached proposal has been submitted or to refrain from proposing in connection with such contract, or has in any manner, directly or indirectly sought by agreement or collusion of communication or conference with any other proposer, firm or person to fix the price or prices in the attached proposal or of any other proposers, or to fix any overhead, profit or cost element of the proposal price of the proposal of any other proposer or to secure through collusion, conspiracy, connivance or unlawful agreement any advantage against the County or any person interested in the proposed contract; and
- 5. The price or prices quoted in the attached proposal are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the proposer or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

Signature

Title

Date



R S M HARRIS ASSOCIATES, INC.

PLANNERS-CONSULTANTS-DEVELOPERS

POST OFFICE BOX 10037 2719 GRAVES DRIVE, SUITE 2 GOLDSBORO, NORTH CAROLINA 27532-0037 GOLDSBORO, NORTH CAROLINA 27534 TELEPHONE 919.751.0909 FAX 919.751.0909 EMAIL cdharris@rsmharris.com

MEMORANDUM

TO: Demolition and Asbestos Removal Contractors

- FROM: W. Chris Harris Reconstruction/Relocation Specialist
- DATE: December 4, 2023
- SUBJECT: Enfield 2019 CDBG-NR Project Second Request for Bid Proposals for Demolition and Asbestos Removal Section 3 Provisions

Section 3 of the Housing and Urban Development Act of 1968 provides preference to low and very low income residents of the community (regardless of race or gender), and the businesses that substantially employ these persons, for new employment, training, and contracting opportunities. Businesses may receive a preference for work if they qualify as a Section 3 business. Attached is a certification form for you to complete and a list of documents you need to provide with your proposal if you want to be considered a Section 3 qualified firm. Please note this is optional and is not a requirement in order to submit a proposal.

All Contractors doing work with the Town are required to list any new hires with the local Employment Security Commission and to report any new hires to the Town. Firms are encouraged to provide preference to low income community residents and residents in public housing units. Attached is a brochure that explains the Section 3 Program and the Section 3 Clause which is part of the Contract.

The Town of Enfield is an equal opportunity employer and service provider. Small, minority, and/or women owned, Historically Underutilized Business (HUB), and Section 3 (low income) businesses and labor surplus area firms are encouraged to submit BIDS. The Fair Housing Act prohibits discrimination in housing based on race, color, national origin, religion, sex, family status, and disability.

This information is available in Spanish or any other language upon request. Please contact: Reginald Goodson, Town Administrator, at 252-445-3146 or at 121 SE Railroad Street, Enfield, NC 27823 for accommodations for this request.

Esta información está disponible en español o en cualquier otro idioma bajo petición. Por favor, póngase en contacto con Reginald Goodson, Town Administrator, at 252-445-3146 o en 121 SE Railroad Street, Enfield, NC 27823 de alojamiento para esta solicitud. This Municipality is an *Equal Opportunity Employer and Service Provider*.

Attachments

Section 3 Clause

A. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.

C. The contractor agrees to send to each labor organization or representative or workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with Section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act
(25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

CERTIFICATION FOR BUSINESS CONCERNS SEEKING SECTION 3 PREFERENCE IN CONTRACTING AND DEMONSTRATION OF CAPBILITY

Name of Business
Address of Business
Type of Business: Corporation Dertnership Sole Proprietorship Joint Venture
Attached is the following documentation as evidence of status:
For Business claiming status as a Section 3 resident-owned enterprise: Copy of resident lease Copy of receipt of public assistance Copy of evidence of participation Other evidence in a public assistance program
For business entity as applicable: Copy of Articles of Incorporation Certificate of Good Standing Assumed Business Name Certificate Partnership Agreement List of owners/stockholders and Corporation Annual Report % ownership of each Latest Board minutes appointing officers Organization chart with names and titles Additional documentation and brief function statement Additional documentation
For business claiming Section 3 status by subcontracting 25 percent of the dollar awarded to qualified Section 3 business:
For business claiming Section 3 status, claiming at least 30 percent of their workforce are currently Section 3 residents or were Section 3 eligible residents within 3 years of date of first employment with the business: List of all current full-time employees PHA/IHA Residential lease less than 3 years from day of employment
 Evidence of ability to perform successfully under the terms and conditions of the proposed contract: Current financial statement Statement of ability to comply with public policy List of owned equipment List of all contracts for the past two years

Authorizing Name and Signature

(Corporate Seal)

Attested by:_____

HUD Compliance and Monitoring?

HUD monitors the performance of recipients and contractors. HUD examines employment and contract records for evidence of actions taken to train and employ Section 3 residents and to award contracts to Section 3 businesses. HUD provides technical assistance to recipients and contractors in order to obtain compliance with Section 3 requirements.

What if it appears that an entity is not complying with Section 3?

There is a complaint process. Section 3 residents and business concerns may file complaints if they think a violation of Section 3 requirements has occurred where a HUD-funded project is planned or underway. Complaints will be investigated; if appropriate, voluntary resolutions will be sought. There are appeal rights to the Secretary. Section 3 residents and businesses may also seek judicial relief.

How can Section 3 businesses or residents complain about a violation of Section 3 requirements?

They can file a complaint in writing to the local HUD FHEO Office or to:

The Assistant Secretary for Fair Housing and Equal Opportunity U.S. Department of Housing and Urban Development 451 Seventh Street, SW, Room 5100 Washington, DC 20410-2000 1-800-669-9777 1-800-927-9276 (TTY)

www.hud.gov www.espanol.hud.gov A written compliant should contain:

- Name and address of the person filing the complaint;
- Name and address of subject of complaint (HUD recipient or contractor);
- Description of acts or omissions in alleged violation of Section 3;
- 4. Statement of corrective actions sought.

04736

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Section 3 Economic Opportunity A Piece of the American Dream







U.S. Department of Housing and Urban Development

Fair Housing and Equal Opportunity

April 2006 HUD-1476-FHEO Rev 2 OMB Approval Number 2529-0043 (exp. 8/31/2007) Previous Editions are Obsolete

Section 3 Act

Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) (as amended), requires that economic opportunities generated by certain HUD financial assistance for housing (including Public and Indian Housing) and community development programs shall, to the greatest extent feasible, be given to low and very low-income persons, particularly those who are recipients of government assistance for housing, and to businesses that provide economic opportunities for these persons.

Other HUD programs covered by Section 3 (to distinguish between HUD Public and Indian housing programs) are those that provide housing or community development assistance for housing rehabilitation, housing construction, or other public construction project.

Who are Section 3 residents?

Public housing residents including persons with disabilities.

Low and very low income persons who live in the area where a HUD assisted projected is located.

What is a Section 3 business?

A section 3 business is one:

That is owned by Section 3 residents Employs Section 3 residents or; Subcontracts with businesses that provide opportunities to low and very low income persons.

What types of Economic Opportunities are available under Section 3?

- Jobs and Employment opportunities
- Training and Educational opportunities
- Contracts and Business opportunities
- Who will provide the Economic Opportunities?

Recipients of HUD financial assistance and their contractors and subcontractors are expected to develop a Section 3 Plan to assure that economic opportunities to the greatest extent feasible, are provided to low and very low-income persons and to qualified Section 3 businesses. One element of that Plan is the use of a Section 3 clause which indicates that all work performed under the contract are subject to the requirements of Section 3.

Who receives Economic Opportunities under Section 3?

For training and employment:

- persons in public and assisted housing;
- persons in the affected project neighborhood;
- participants in HUD Youth-build programs;
- homeless persons.

For contracting:

businesses which fit the definition of a Section 3 business.

How can individuals and businesses find out more about Section 3?

Contact the Fair Housing and Equal Opportunity representative at your nearest HUD Office.

¿Cómo obliga el HUD a cumplir la ley y cómo vigila su cumplimiento?

El HUD vigila el desempeño de los receptores de la asistencia y de los contratistas. El HUD examina las constancias de empleo y de las contrataciones para saber si se han tomado medidas para capacitar y dar empleo a los residentes según la Sección 3, así como para adjudicar contratos a las empresas según la Sección 3.

¿Qué se hace si alguna empresa parece no cumplir con la Sección 3?

Existe un procedimiento de denuncia. Los residentes y las empresas según la

Sección 3 pueden presentar denuncias si consideran que ha ocurrido una infracción de los requisitos de la Sección 3 que afecta a un proyecto financiado por el HUD, planificado o en vías de realización. Estas denuncias se investigarán y se procurará resolverlas de forma voluntaria. Hay derecho de apelación ante el Secretario. Los residentes y las empresas según la Sección 3 también pueden recurrir al desagravio por vía judicial.

¿Cómo pueden quejarse las empresas o los residentes según la Sección 3 de las infracciones de la misma?

Pueden presentar una denuncia por escrito a la delegación local de HUD/FHEO o a: The Assistant Secretary for Fair Housing and Equal Opportunity U.S. Department of Housing and Urban Development 451 Seventh Street, SW, Room 5100

Washington, DC 20410-2000 1-800-669-9777 1-800-927-9276 (TTY)

www.espanol.hud.gov En las denuncias por escrito se incluirán los datos siguientes:

Nombre y dirección del denunciante; Nombre y dirección del denunciado (beneficiario o contratista del HUD); Descripción de los actos u omisiones que supuestamente han infringido la Sección 3; Declaración de las medidas correctivas que se solicitan.

HUD-1476-FHEO Rev 2 (Spanish) (4/06)

Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos de América

SECCIÓN 3 OPORTUNIDAD ECONÓMICA

Un troco del ideal americano



Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos



Equidad de Vivienda e Igualdad de Oportunidades

April 2006 HUD-1476-FHEO Rev 2 (Spanish) OMB Approval Number 2529-0043 (exp. 8/31/2007) Previous Editions are Obsolete

Sección 3 de la Ley

Conforme a la Sección 3 de la Ley de Vivienda y Desarrollo Urbano de los Estados Unidos de 1968 (que figura en la Sección 1701u, enmendada, del título 12 del Código de los Estados Unidos), las oportunidades económicas que generen determinados tipos de asistencia financiera concedida por el Departamento de Vivienda y Desarrollo Urbano (HUD), incluida la destinada a la vivienda pública y la de los pueblos indígenas, se deberá proporcionar, en lo que sea factible, a las personas de ingresos bajos o muy bajos, especialmente a las que reciben asistencia pública para la vivienda, así como a las empresas que ofrecen oportunidades económicas a esas personas.

El HUD también administra otros programas conforme a la Sección 3. Para distinguir entre los programas para la vivienda pública y los destinados a los pueblos indígenas, estos otros programas proporcionan asistencia para la vivienda o para el desarrollo comunitario dirigida a la rehabilitación y construcción de viviendas o a otros proyectos de construcción pública.

> ¿Quiénes son los residentes según la Sección 3?

Los residentes de viviendas públicas, incluidos los discapacitados.

Las personas de ingresos bajos o muy bajos que viven donde hay bloques de viviendas que reciben asistencia del HUD.

¿Cuáles son las empresas según la Sección 3?

Por una empresa según la Sección 3 se entiende:

La que es propiedad de residentes según la Sección 3. La que emplea a residentes según la Sección 3. La que subcontrata a empresas que proporcionan oportunidades a personas de ingresos bajos o muy bajos.

¿Qué tipos de oportunidades econômicas se ofrecen según la Sección 3?

- Oportunidades de trabajo y empleo
- Oportunidades de capacitación y educación
 Contracts and Business opportunities
- ¿Quién proporcionará las oportunidades económicas?

Los receptores de la asistencia financiera del HUD y sus contratistas y subcontratistas están obligados a elaborar planes conforme a la Sección 3 para asegurar que, en lo que sea factible, se proporcionen oportunidades a las personas de ingresos bajos o muy bajos y a las empresas que reúnan las condiciones que estipula la Sección 3. En esos planes se especificará que todos los trabajos efectuados conforme al contrato cumplan con los requisitos de la Sección 3.

¿Quién recibe las oportunidades económicas según la Sección 3?

Con fines de capacitación y empleo:

- Los residentes en viviendas públicas o subvencionadas;
- Los residentes en el vecindario de las viviendas públicas o subvencionadas;
- Los participantes en los programas del HUD de ayuda a la juventud (Youth-build programs);
- Las personas sin hogar.

Para conseguir contratos:

 Los negocios que se ajusten a la definición de las empresas según la Sección 3.

¿Cómo pueden las personas y las empresas recibir más información acerca de la Sección 3?

Deben comunicarse con el representante de la Oficina para la Equidad de Vivienda e Igualdad de Oportunidades (Fair Housing and Equal Opportunity, FHEO), en la delegación del HUD más cercana.